



06-27-01

Docket No. 3068B/R



Patent Application  
Commissioner for Patents  
Washington, DC 20231

## NEW APPLICATION TRANSMITTAL

37 CFR 1.53(b)

## CERTIFICATION UNDER 37 CFR 1.10

I hereby certify that this New Application Transmittal and the documents referred to as enclosed therewith are being deposited with the United States Postal Service on June 26, 2001 as "Express Mail Post Office to Addressee" Mailing Label No. EJ903244885US in an envelope addressed to: Box Patent Application, Commissioner for Patents, Washington, DC 20231.

Sheila E. Kelly

(Name of person mailing paper)

Sheila E. Kelly  
(Signature of person mailing paper)

Inventor(s) (or application identifier): Brian B. Filippini, John W. Forsberg, Thomas F. Steckel,  
David J. Moreton, and Rodney J. McAtee

Title: AMINO ALKYLPHENOL EMULSIFIERS FOR AN AQUEOUS HYDROCARBON FUEL

## Type of Application

☐ Original☐ Continuation☐ Divisional☒ Continuation-in-part (CIP)

Fee Calculation (37 CFR 1.16)

## CLAIMS AS FILED

	Number Filed	Number Extra	Rate	Basic Fee 37 CFR 1.16(a) \$710.00
Total Claims (37 CFR 1.16(c)) 22 -20=	2	X	\$18.00	36.00
Independent Claims (37 CFR 1.16(b)) 3 -3=		X	\$78.00	-0-
Multiple dependent claim(s), if any (37 CFR 1.16(d))			\$260.00	-0-

☐ Amendment canceling extra claims enclosed.

## 3. Fee Payment Being Made and Authorization to Charge Fees

☒ basic filing fee\$746.00☐ recording assignment (\$40.00; 37 CFR 1.21(h))

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☒ Charge Account No. 12-2275 in the amount of \$746.00. A duplicate of this transmittal is attached.

4. Papers Enclosed Which Are Required For Filing Date Under 37 CFR 1.53(b) Application

29 Pages of specification (excl. claims)  
5 Pages of claims

1 Pages of Abstract  
0 Sheets of drawing

5. Additional papers enclosed

☐ Preliminary Amendment

☐ Cited References

☐ Information Disclosure  
Statement (37 CFR 1.98)

☐ Other

☐ FORM PTO-1449

☒ Return postcard receipt

☐ Incorporation by reference  
statement of prev. appln.

6. Declaration or oath

☐ Newly executed and  
enclosed (original or copy)

☒ Unexecuted and  
enclosed (for  
identification)

☐ Copy from prior  
application enclosed (for  
continuation/divisional)

7. Assignment

☐ An assignment of the invention to The Lubrizol Corporation, a corporation of the state of Ohio, 29400 Lakeland Boulevard, Wickliffe, Ohio 44092, and a separate "Cover Sheet for Assignment (Document) Accompanying New Patent Application," are attached.

☒ The Commissioner is hereby authorized to charge the following additional fees by this paper and during the entire pendency of this application to Account No. 12-2275:

☒ 37 CFR 1.16(a)-(e)

☒ 37 CFR 1.17 (application processing fees)

☒ charge any deficiency in the above fee or credit any overpayment to  
Account No. 12-2275

Total fees enclosed \$746.00

8. Benefit of Prior U.S. Application(s) (35 USC 120)

☒ The new application being transmitted claims the benefit of prior U.S. application(s). Enclosed find an additional 1 Added Page For New Application Transmittal Where Benefit Of Prior U.S. Application(s) Claimed

☐ Plus an Additional      Added Pages For Papers Referred To In Item 5 Above

☐ This transmittal ends with this page.

ADDED PAGE FOR APPLICATION TRANSMITTAL WHERE BENEFIT OF  
PRIOR U.S. APPLICATION(S) CLAIMED

9. Relate Back - 35 U.S.C. 120  
\*This is a ☐ continuation ☒ continuation-in-part ☐ divisional of copending application(s)  
☒ Serial No. 09/483,481, which is a continuation-in-part of Serial No. 09/390,925, which is a  
continuation-in-part of Serial No. 09/349,268, which is a continuation-in-part of Serial No. 09/755,577.
10. Maintenance of Copendency of Prior Application  
☐ Extension of time in prior application  
☐ A petition, fee and response extends the term in the pending prior application until \_\_\_\_  
☐ A copy of the petition filed in prior application is attached
11. Further Inventorship Statement Where Benefit of Prior Application(s) Claimed

(complete applicable item (a) or (b) below)

(a) ☐ This application discloses and claims only subject matter disclosed in the prior application  
whose particulars are set out above and the inventor(s) in this application are

☐ the same

☐ less than those named in the prior application and it is requested that the following inventor(s)  
identified for the prior application be deleted:

\_\_\_\_\_  
(type name(s) of inventor(s) to be deleted)

(b) ☐ This application discloses and claims additional disclosure by amendment and a new  
declaration or oath is being filed. With respect to the prior application the inventor(s) in this  
application are

☐ the same

☒ the following additional inventors have been added: John W. Forsberg, Thomas F. Steckel,  
David J. Moreton, and Rodney J. McAtee

William D. Abraham, Daniel T. Daly, Harshida C. Dave, Jennifer N. Fakult, Robert T. Graf, Deborah A.  
Langer, John J. Mullay, Elizabeth A. Schiferl, Morris Smith, and David L. Westfall

\_\_\_\_\_  
(type name(s) of inventor(s) to be deleted)

12. Abandonment of Prior Application (If applicable)  
☐ Please abandon the prior application at a time while the prior application is pending or when the  
petition for extension of time or to revive in that application is granted and when this application is  
granted a filing date so as to make this application copending with said prior application.
13. Petition for Suspension of Prosecution for the Time Necessary to File an Amendment  
☐ There is provided herewith a Petition to Suspend Prosecution for the Time Necessary to File An  
Amendment (New Application Filed Concurrently)

Reg. No. 31,360

  
Signature of Attorney/Agent

Tel. No. (440) 347-5072

Teresan W. Gilbert  
Type or print name of attorney/agent

The Lubrizol Corporation  
29400 Lakeland Boulevard  
Wickliffe, OH 44092-2298

(Application Transmittal (4-1)--page 3 of 3)(1-99)